# Week 3: Inter-Process Communications & Remote Procedure Call

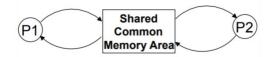
#### Learning Outcomes:

- Introduce the concept of inter-process communications (IPC)
- Introduce the concept of remote procedure call (RPC)
- Discuss Assignment 1

#### Inter-process Communication (IPC)

Means information sharing among two or more processes. Consists of two methods:

1. Original sharing (Shared data approach)



2. Copy sharing (Message passing approach)



We focus on the message passing approach (we're doing MPI), using a messagepassing system to allow processes to communicate. It also serves as a suitable infrastructure for building other IPC systems such as RPC (Remote Procedure Call) or DSM (Distributed Shared Memory).

## Features of an Effective Message Passing System

- ✓ Simplicity
- ✓ Uniform semantics same primitives for local & remote communication
- ✓ Efficiency via:
  - 1. Reducing the number of messages as much as possible
  - 2. Avoiding repeating cost of establishing & terminating connections between same pair of processes for each and every message exchange between them
  - 3. Minimizing cost of maintaining connections
  - 4. Piggybacking acknowledgement of previous message with the next message
- ✓ Reliability Loss & duplicate message handling
- ✓ Correctness: Atomicity, Ordered delivery, survivability

- ✓ Security Authentication of sender & receiver + Encryption of messages
- ✓ Portability system should be lightweight

#### IPC Message Structure

Header:

- 1. Addresses
  - ✓ Sender address
  - ✓ Receiver address
- 2. Sequence Number
- 3. Structural Information
  - ✓ Туре
  - ✓ Number of bytes
- 4. Message

#### Issues in an IPC Protocol

- 1. Identity Who is sender?, who is receiver?
- 2. Network Topology 1 receiver or many?
- 3. Flow Control Guaranteed by the receiver? should sender wait for reply?
- 4. Error Control & Channel management Node crash? Receiver not ready? Several outstanding messages to the receiver?

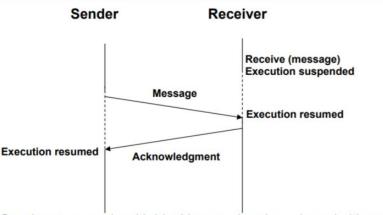
#### Synchronization in IPC

Send primitive consists of Blocking & Non-blocking

Receive primitive consists of Blocking & Non-blocking. Non-blocking can utilize Polling (continuously ping for response) or Interrupts (check for a message during program interruption).

#### Synchronous & Asynchronous Communication

When both the send & receive primitives of a communication between two processes use blocking semantics, the communication is synchronous, otherwise it is asynchronous.



Synchronous mode with blocking send and receive primitives

# Synchronous VS Asynchronous Communication

Synchronous offers:

- 1. Simplicity & ease of implementation (ordering & execution is more stable)
- 2. Reliability
- 3. No backward error recovery needed

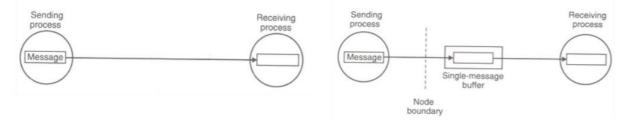
Asynchronous offers:

- 1. Higher concurrency (parallel computing!)
- 2. More flexible than synchronous
- 3. harder to manage
- 4. Lower deadlock risk than synchronous

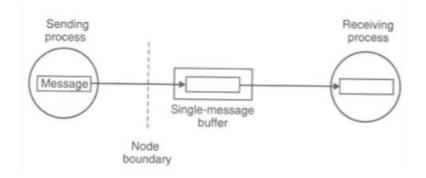
# **Buffering**

Buffer is where the data we send lives. There is a send buffer & a receive buffer.

In Synchronous communication, we may not need a buffer (null), or we may need a single-message buffer (to issues that may occur with consistency)



In Asynchronous communication, the buffer capacity is unbounded, consisting of a multiple message buffer (of finite length), which hopefully, stores messages that will eventually be received (and cycled out).



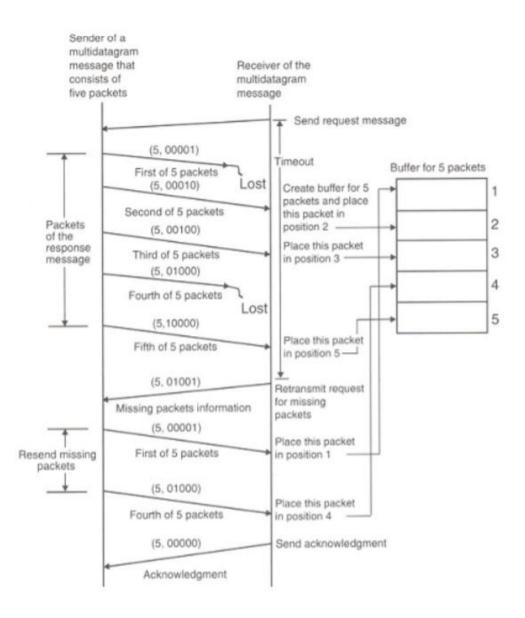
## Multi-datagram Messages (On a Network)

Data sent within networks typically have an upper bound size for a packet. This is MTU (maximum transfer unit). Thus, a message size which is greater than MTU has to be fragmented, and set in a packet, known as a datagram.

Thus, messages may be single-datagram messages or multi-datagram messages. Assembling & disassembling messages is the responsibility of the MPS.

#### Example Message containing 5 packets

Can see that each packet has a sequence number, and packet 1 & 4 has been lost during transmission. Receiver retransmits request for missing packets and sends acknowledgement after receiving all.



## Encoding/Decoding

- ✓ Needed if sender & receiver have different architecture.
- ✓ Modern systems have a globally shared address space

# Process Addressing

 Explicit Addressing – explicitly state which
 Send(process\_ID, message)

 process we want to send messages to and
 Receive(process\_ID, message)

 receive messages from.
 Receive(process\_ID, message)

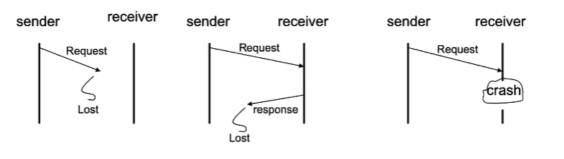
Implicit Addressing – Send messages to a Se global communicator, and receivers can read Re it.

Send\_any(service\_ID, message) Receive\_any(process\_ID, message)

# Failure Handling

# 3 Types:

- 1. Loss of request message
- 2. Loss of response message
- 3. Unsuccessful execution of request

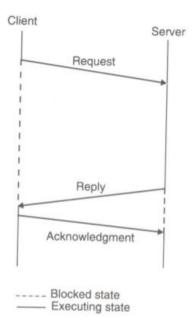


# 4-message reliable IPC protocol

-	Request
•	Acknowledgment
	Reply
4	
	Acknowledgment
	Acknowledgment

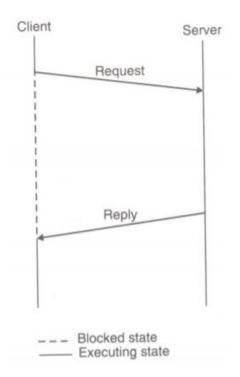
3-message reliable IPC protocol

Server does not acknowledge request. Client may utilize a timeout protocol, sending the request again if it never receives a response.

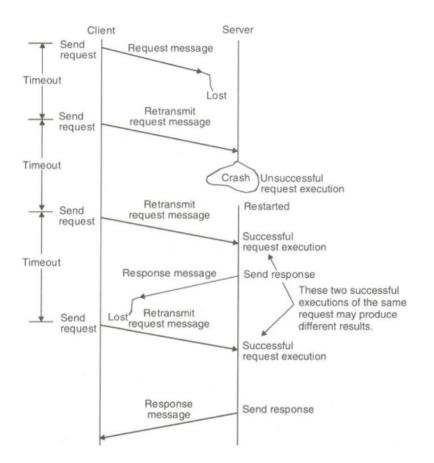


# 2-message reliable IPC protocol

#### Not very reliable.

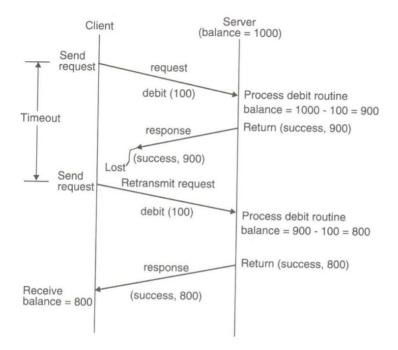


# Example of 4-message IPC protocol



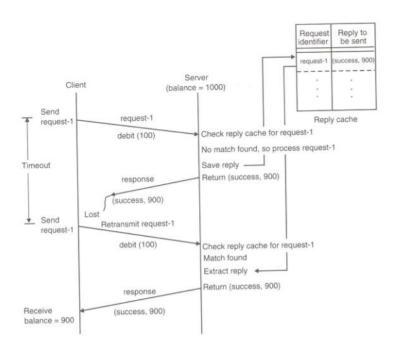
<u>Idempotency</u> – Same results should output when supplying the same input. Similar to purity of functions. Idempotent operations are encouraged.

### A Non-Idempotent Routine



#### Implementing Idempotency

- ✓ Adding sequence numbers in operations to distinguish between retransmitted requests/responses.
- ✓ Using 'Reply cache'



# **Group Communication**

- 1. One to Many
  - ✓ Group management
  - ✓ Group addressing
  - ✓ Buffered & unbuffered multicast
  - ✓ Send-to-all & Bulletin-Board semantics
  - ✓ Flexible reliability in multicast communication
  - ✓ Atomic multicast
- 2. Many to One
- 3. Many to Many
  - ✓ Issues related to 1:M and M:1 also applies here
  - ✓ Ordered message delivery is an important issue

#### Semantics for ordered delivery in M:M communication

1. No Ordering

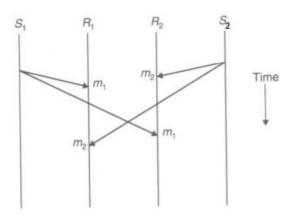
4 Processes. 2 sending processes S1 &

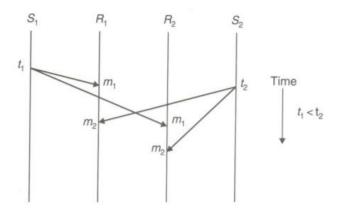
S2, 2 receiving processes R1 & R2. S1 & S2 both performed a 1:M message.

In this example, S2 sent message first, then S1 sent message second,

However, R2 received S2's message first, then S1's message second.

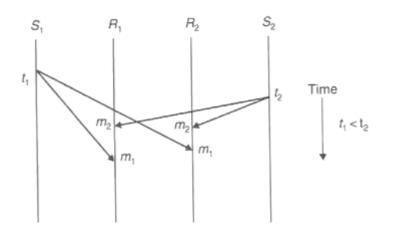
- 2. Absolute Ordering
- All messages are delivered to all receiver processes in the exact order they were sent
- Using global timestamp as message identifiers with sliding window protocol
- ✓ S1 sent first, so both R1 and R2 receive S1's message first



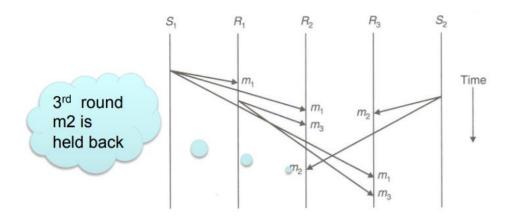


## 3. Consistent Ordering

- ✓ All messages are delivered to all receivers in the same order. However, this order may be different from the order in which the messages were sent.
- S1 sent message first, but in both cases it was received second, but at least its consistent among the receiving processes



- 4. Causal Ordering
- Ordering which occurs when a message must be transmitted before another message can be sent
- ✓ R1 only sends message m3, after it has received message m1.
- ✓ m3 is only sent after m1 has been received by R1.
- ✓ Hence R2 must receive message m1 first, and then message m3 second.



# Reason for RPC

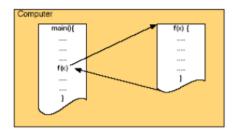
- IPC of a DS can be handled using an IPC protocol based on a message passing system.
- However, an independently developed IPC protocol is tailored to one specific application, and does not provide a foundation for building a variety of distributed applications
- $\checkmark$  Need was desired for a general IPC protocol, which led to RPC

# Remote Procedure Call (RPC)

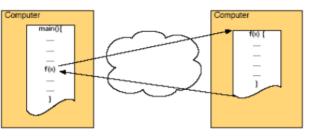
- ✓ the solution for a fairly large number of distributed applications
- ✓ widely accepted IPC mechanism in DS.
- ✓ Features:
  - Simple call syntax
  - Familiar semantics
  - > Specifications of a well-defined interface
  - Ease of use
  - ➤ Generality
  - Efficiency

# RPC Model

- Similar to "Procedure Call" model except now we invoke procedures on a remote system.
- Local Procedure Call "Caller and Callee are within a single process on a given host"
- Intent of RPC is to make it appear to the programmer that a local procedure call is taking place

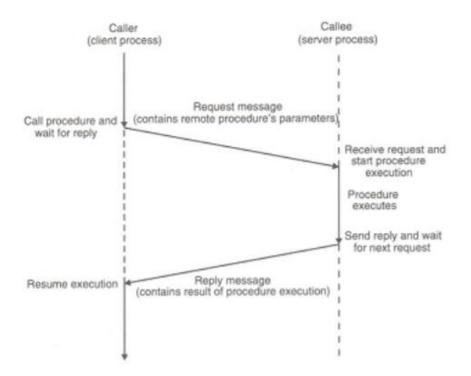


Local Procedure Call



Remote Procedure Call

# Typical workflow of RPC



- 1. Caller issue remote procedure request
- Callee (remote processing system) starts procedure execution and sends result process back to caller

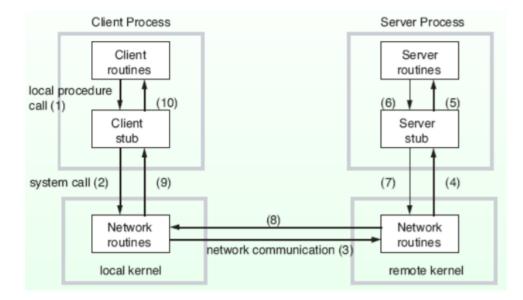
#### Implementing RPC Mechanism

- ✓ Similar to networking stacks, we have layers as 'stubs' in our RPC protocol.
- ✓ Stubs provide a perfectly normal(local) procedure call abstraction
- ✓ Implementation involves five elements:
  - Client
  - client stub
  - RPC Runtime
  - > server stub
  - ➤ server

#### <u>Stubs</u>

Client & sever stubs are generated from interface definition of server routines by development tools, similar to class definition in C++ & Java.

## Diagram example

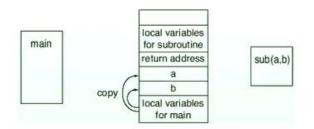


# Parameter Passing Mechanisms

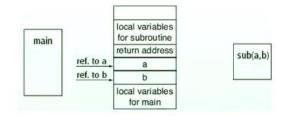
When a procedure is called, parameters are passed to the procedure as arguments. There are 3 methods of passing parameters.

1. Call-by-value - supplying an actual value

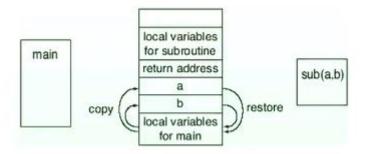
The calling procedure may modify value, but modifications do not affect the original value at the calling side



2. Call-by-reference – supplying the memory address of a variable, enabling the calling procedure to manipulate the original values at the calling side



- 3. Call-by-copy/restore
- Values of arguments are copied to the stack and passed to the calling procedure.
- ✓ When the processing of procedure completes, the values are copied back to the original values at the calling side.

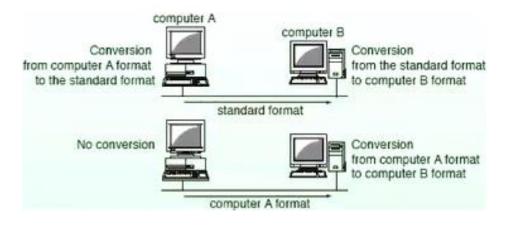


# Parameter Passing in RPC

- ✓ We can implement all 3 mechanisms shown above.
- ✓ Usually call-by-value and call-by-copy/restore are used
- Call-by-reference is difficult to implement. all data which may be referenced must be copied to the remote host and the reference to the copied data is used.

Question: Do we need to convert the values of parameter arguments into a standard format to transmit over the network?

- ✓ Yes, many machines use different character codes, e.g. IBM mainframes use EBCDIC, while PCs use ASCII
- ✓ If a standard format is not used, two message conversions are necessary
- ✓ If format info is attached to a message, only one conversion at receiver will suffice, however, receiver must be able to handle many different formats.



### **RPC** Messages

Generally, two types: Call, and Reply messages.

Message Identifier is usually a sequence number.

## Call Message format

		Remote procedure id		e identifier	))	
Message identifier	Message type	Client identifier	Program number		Procedure	Arguments

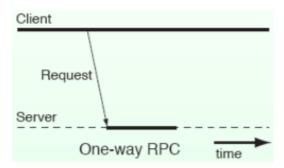
## Reply Message format (successful & unsuccessful)

			-),
Message identifier	Message type	Reply status (successful)	Result
		(oucossiai)	22

Message Message Reply Reason for identifier type status failure (unsuccessful)	Message identifier	Message type	status	Reason for failure
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## One-way RPC

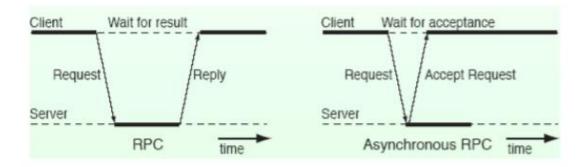
 In one-way RPC, the client immediately continues after sending the request to the server



# Asynchronous RPC

- ✓ For synchronous RPC, when a client requests a remote procedure, the client wait until a reply comes back
- ✓ If no result is returned, there will be unnecessary wait time overhead
- In asynchronous RPC, the server immediately sends accept message when it receives a request

# Synchronous vs Asynchronous RPC Diagram



## Optimizing RPC for better performance/efficiency

- ✓ Distribute RPC requests across multiple processors/servers
- ✓ Have a single server processing requests from multiple clients simultaneously
- Have client perform serial work on an infrastructure which is optimized for that work, then have servers designed for high-performance, highly parallel throughput
- ✓ Proper selection of timeout values
- ✓ Proper design of RPC protocol specification

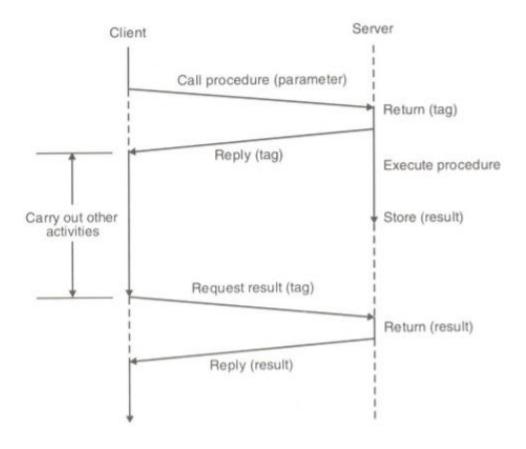
## Concurrent Access to Multiple Server

To enable this, one of the following 3 can be adopted:

- 1. Threads
  - A client process can have multiple threads, which can independently make remote procedure calls to different servers
- 2. Early reply approach
  - A call is split into two separate RPC calls one passing parameters and other requesting the result
  - > Server must hold the result causing congestion or unnecessary overhead
- 3. Call buffering approach
  - > Clients & severs do not interact directly but via a call buffer server

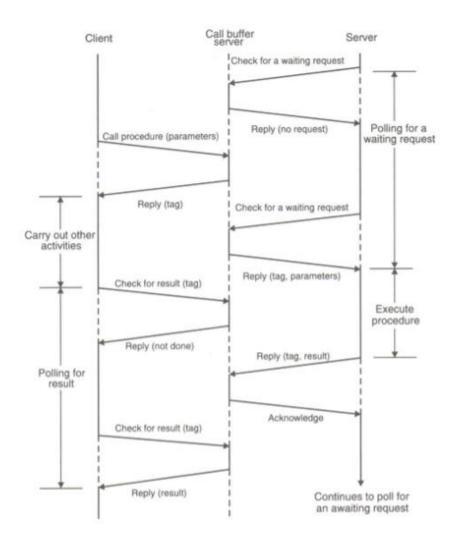
#### Early Reply Flow Diagram

✓ Client is still processing while server is executing the procedure.



# Call Buffering Flow Diagram

- ✓ Client pings the buffer whether its request has been serviced
- ✓ Similar to how RESTFul API's work



## Serving Multiple Requests Simultaneously

Delays are common in RPC such as:

- ✓ server needs to wait for shared-resources, delays may occur if workload is not shared properly
- ✓ server calls a remote function involving lots of data or computation or transmission delays

So, it is preferred if the server may accept & process other requests while waiting to complete a request. Multiple-threaded server may be a solution.