

Geotechnical Engineering

1. Contents

2. Subject Introduction.....	7
2.1. Components of Soil	7
2.2. Examples in Geotechnical Engineering	7
3. Laboratory Testing: Soil and Rock (AS 1289.0)	7
3.1. Basic concepts	7
3.2. Index properties	8
3.3. Strength tests	9
3.4. Permeability	10
3.5. Consolidation	11
3.6. Quality assurance of laboratory testing	11
4. Revision 1	11
4.1. Describing a soil	11
4.2. Soil Properties & Classification – AS 1726.....	11
4.3. Behaviour of Fine-Grained Soils.....	12
4.3.1. Soil Plasticity	12
4.3.2. Capillary effect	12
4.3.3. Adsorbed water	12
4.3.4. Significance of water in soil	12
4.3.5. Measuring soil suction	13
4.4. Swelling & Shrinkage of Soil	13
4.4.1. Reactive soil	13
4.5. Soil mechanics concepts	13
5. Revision 2	13
5.1. Slope Failure.....	13
5.2. Effective Stress Concept.....	14
5.2.1. Sand castle example	14
5.3. Transmission of Stress into Ground	14
5.3.1. Large loading area relative to compressible layer thickness	14
5.4. Pore Water Pressure and Seepage	14
6. Consolidation 1	15
6.1. Compressibility and Settlement	15
6.1.1. 1-Dimensional Compressibility (zero lateral strain).....	15
6.1.2. Non 1-Dimensional Compression	17
7. Consolidation 2	17
7.1. Compression Index (C_c)	17
7.2. Soil compressibility and stress history	17
7.2.1. Over-consolidation ratio (OCR).....	18
7.3. Determining the pre-consolidation stress of a soil	18
7.3.1. Casagrande technique	18
7.3.2. Approximate assessment of pre-consolidation stress	18
8. Consolidation 3	19

8.1.	Timing of settlement	19
8.2.	Consolidation and seepage	19
8.3.	Factors affecting the rate of consolidation	19
9.	Consolidation 4	20
9.1.	Degree of Consolidation (U)	20
9.1.1.	Consolidation vs. time	20
9.1.2.	Consolidation vs. depth	20
9.1.3.	Average consolidation	21
9.2.	Consolidation settlement	21
9.2.1.	Magnitude	21
9.2.2.	Timing	21
9.3.	Terzaghi's 1-D Consolidation Theory	21
9.3.1.	Assumptions	21
9.3.2.	Theory and graphical solutions	21
10.	Consolidation 5	22
10.1.	Examples	22
10.1.1.	Finding the coefficient of consolidation and the pore pressure at a certain point	22
10.1.2.	Finding the time at which a certain degree of consolidation (U) will occur	22
10.2.	Settlement vs. time	22
10.3.	Determining the coefficient of consolidation (Cv)	23
10.4.	Reducing settlement time: pre-loading	23
11.	Consolidation 6	23
11.1.	Calculating total settlement using Cc and Cs	23
11.2.	Over-consolidated soils (Cs)	23
11.3.	Normally-consolidated soils (Cc)	23
11.3.1.	Determination of Cv by the logarithm of time method	23
11.3.2.	Determination of Cv by the square root of time method	24
11.3.3.	Determination of Cv as per AS 1289.6.6.1	25
12.	Geotechnical Strength 1	25
12.1.	Shear Strength of soils	25
12.1.1.	Mohr Circle and failure envelope	25
12.1.2.	Factors influencing geotechnical strength	25
12.1.3.	Frictional and cohesive forces	25
12.2.	Mohr-Coulomb failure theory	25
12.2.1.	Stress state	26
12.2.2.	3D Stress state	26
12.3.	Pole Method and Mohr Circle	26
12.3.1.	Finding the pole	26
12.3.2.	Mohr Circle	27
12.3.3.	Typical Soil Parameters	28
13.	Geotechnical Strength 2	28
13.1.	Laboratory Tests	28
13.2.	Direct Shear Test	28
13.2.1.	Soft clays/loose sands vs. stiff clays/dense sands	29
13.2.2.	Soil-geosynthetic direct shear stress	30

13.3.	Tri-Axial Test.....	30
13.3.1.	Triaxial test procedure	30
13.3.2.	Triaxial test types	31
13.3.3.	Soil behaviour	31
13.4.	Area Correction	35
13.5.	Typical Failure Modes	35
13.5.1.	Cohesive samples	35
14.	Geotechnical Strength 3	35
14.1.	Rock vs. Soil.....	35
14.2.	Strength Tests on Rock.....	36
14.3.	Typical Geotechnical Strength Parameters.....	37
15.	Lateral Earth Pressure 1 – Introduction	39
15.1.	Introduction to Retaining Walls	39
15.2.	Lateral Earth Pressure.....	39
15.2.1.	Types of lateral earth pressure.....	39
15.2.2.	Calculating Lateral Earth Pressure Coefficients.....	39
15.3.	Rankine Theory (Stress Approach)	40
15.3.1.	At Rest	41
15.3.2.	Active Pressure.....	41
15.3.3.	Passive Pressure	41
15.4.	Coulomb Theory (Force Approach).....	41
15.5.	Distribution of Earth Pressure Coefficient	41
15.6.	Use of Earth Pressure Coefficient in Practice.....	42
15.6.1.	Failure shear planes for passive and active earth pressure	42
15.6.2.	Reducing lateral earth pressure in practice.....	42
16.	Lateral Earth Pressure 2 – Cohesive Soils.....	43
16.1.	Long-Term Earth Pressure (Saturated Clays)	43
16.2.	Short-Term Earth Pressure (Saturated Clays)	44
17.	Rigid Retaining Walls – Introduction	44
17.1.	Types of Retaining Walls	45
17.2.	Gravity Retaining Walls	45
17.3.	Mechanically Stabilised Walls	46
17.4.	Embedded Retaining Walls	47
17.5.	Design Approach	49
17.5.1.	ULS (strength and stability)	49
17.5.2.	SLS (movement).....	50
17.5.3.	Drainage	50
17.5.4.	Durability	50
17.6.	Design Procedure for the Stability of Retaining Walls	50
17.6.1.	Effective Height (H')	51
17.6.2.	Failure Mode 1: Rotation.....	51
17.6.3.	Failure Mode 2: Sliding (Translation)	51
17.6.4.	Failure Mode 3: Bearing Capacity.....	52
17.6.5.	Failure Mode 4: Global Stability	52
17.7.	Approximate Dimensions for Gravity Walls	52

17.8. General FoS Guides	53
18. Rigid Retaining Walls 2	53
18.1. Gravity Wall Design in Practice	53
18.1.1. AS 5100.3 (2017) Bridge Design	53
18.1.2. AS 4678 Earth Retaining Structures.....	53
18.2. AS 5100.3 (2017) Bridge Design	53
18.2.1. Strength reduction factor	53
18.3. AS 4678 Earth Retaining Structures	54
18.3.1. Soil parameters	54
18.3.2. Load Combinations.....	54
18.4. Additional Resources.....	54
18.4.1. Structure Classification (AS 4678)	54
18.4.2. Soil Classification and Parameters (AS 4678)	55
18.4.3. Sliding Coefficients (AS 5100.3).....	55
18.4.4. Live Load surcharges (AS 4678)	55
18.5. Design Approach Summary	55
19. Flexible Retaining Walls.....	56
19.1. Lateral earth pressure	57
19.1.1. Meyerhof pressure distribution	57
19.2. Types of Flexible Retaining Walls.....	57
19.3. Cantilever walls	58
19.3.1. Analysis procedure	58
19.3.2. FoS Method	58
19.3.3. Factored strength method	59
19.3.4. Example: cantilever wall.....	59
19.4. Propped cantilever walls	60
19.4.1. Analysis procedure	60
19.4.2. Example: propped cantilever wall	62
19.5. Groundwater effects	64
19.5.1. Approximate pore water pressure solutions.....	64
20. Braced Excavation	64
20.1. Introduction to braced excavations	64
20.1.1. When to use Bracings.....	64
20.1.2. How to build braced excavations	64
20.1.3. Wall deformations.....	65
20.2. Critical design elements	65
20.3. Empirical methods	65
20.3.1. Pressure diagrams	65
20.4. Heaving	68
20.5. Analysis procedure.....	69
20.6. Prop Redundancy	69
20.7. Deformation	69
21. Reinforced Earth Walls	72
21.1. Introduction to Reinforced Earth (RE) Walls	72
21.1.1. Types of RE walls	72

21.1.2.	Mechanics of RE walls	72
21.1.3.	When to use RE walls	73
21.1.4.	Construction sequence.....	73
21.2.	Design of RE walls	73
21.2.1.	External Stability.....	73
21.2.2.	Internal Stability	73
21.2.3.	Design Procedure Design procedure for internal stability	74
21.2.4.	Design as per R57	74
21.3.	Design Example	77
21.4.	Summary	77
22.	Embedded Retaining Wall Design Guidance	77
22.1.	Current standards and design guidance.....	77
22.2.	Design for stability and strength.....	78
22.2.1.	Stability.....	78
22.2.2.	Stability.....	78
22.3.	Observational method – example of economic design.....	79
23.	Retaining Wall Lessons	79
23.1.	Considerations for retaining wall design.....	79
23.1.1.	Uses of retaining walls.....	79
23.1.2.	Understanding earth pressure	80
23.1.3.	Two different retaining wall systems	80
23.2.	Water and retaining walls	81
23.3.	Retention of excavations.....	81
24.	Compaction and Pavement.....	81
24.1.	Compaction	81
24.1.1.	Introduction to compaction	82
24.1.2.	Compaction tests.....	82
24.1.3.	Field compaction	83
24.1.4.	California Bearing Ratio (CBR)	84
24.2.	Pavements.....	86
24.2.1.	Pavement types	86
24.2.2.	Design traffic	88
25.	Liquefaction	91
25.1.	Introduction	91
25.1.1.	Types of liquefaction	91
25.1.2.	Main Controlling Factors	91
25.1.3.	Design Options	92
25.1.4.	Improving soil properties	92
25.2.	Evaluating liquefaction potential	92
25.2.1.	Dense Soils.....	92
25.2.2.	Cyclic Stress Ratio (CSR) and Cyclic Resistance Ratio (CRR)	93
25.2.3.	Cyclic Stress Ratio (CSR)	93
25.2.4.	Comparison of Various Field Tests for the Assessment of CRR	94
25.2.5.	Liquefaction Potential from SPT	94
25.2.6.	Liquefaction Potential from CPT.....	95

25.2.7.	Liquefaction Potential from V_s	95
25.2.8.	Magnitude Factors	96
25.2.9.	Other Corrections.....	96

2. Subject Introduction

2.1. Components of Soil

- Solid
- Air
- Water

2.2. Examples in Geotechnical Engineering

- Landslide and ground subsidence
- Earth retaining structures
 - Gravity
 - Cantilever
 - Semi-gravity
- Ground improvement
- Foundations
- Excavations
- Land reclamation
- Dams
- Tunnels and underground space
- Mining
- Environmental geotechnics

3. Laboratory Testing: Soil and Rock (AS 1289.0)

Laboratory testing may be required if **in-situ tests** are **insufficient** or **not possible** or the importance of the project deems the additional testing necessary (relying on empirical relationships may not be feasible)

3.1. Basic concepts

3.1.1.1. Soil phase relationships

The **weight** and **volume** of a soil sample will **depend** on the

- Specific gravity of soil grains (solids)
- Size and space between soil grains (voids and pores)
- Volume of void space filled with water

Soil **properties** include

- Moisture content (w)
- Specific gravity (G_s)
- Unit weight (γ)
- Porosity (n)
- Void ratio (e)
- Weight-volume relationships
- Soil identities
- $G_s w_n = S e$
- $\gamma_T = G_s \gamma_w \left(\frac{1+w_n}{1+e} \right)$
- Limiting unit weight (solid phase only, $w=e=0$)
- $\gamma_{solid} = G_s \gamma_w$
- Dry Unit weight ($w = 0$, all air in void space)
$$\gamma_d = \frac{G_s \gamma_w}{1 + e}$$
- Moist unit weight (variable amounts of air and water)
$$\gamma_T = G_s \gamma_w \left(\frac{1 + w_n}{1 + e} \right)$$

- **Saturated unit weight** (all voids with water, $S = 1$)

$$\gamma_{sat} = \gamma_w \left(\frac{G_s + e}{1 + e} \right)$$

3.1.1.2. Load-deformation process

As the amount of **water** in the voids **increases**, the **pore water pressures** on the soil grains will **increase** and the **intergranular contact forces** will be **reduced**

→ if the sample is **saturated**, the **water** filling the voids will be **forced out** and the **soil particles** will **consolidate** (if saturated and low permeability, deformation is slow and there will be an increase in pore water pressure initially before deformation)

Deformation will **depend on** the

- **Intergranular forces** (grain to grain contact)
- The **volume of water** in the voids (higher void ratio increases possibility of deformation)

3.1.1.3. Principles of effective stress

For **partially saturated** soils **effective stress** will be **influenced** by the **soil structure** and **degree of saturation**

For soils **below the water table** (soil is saturated)

$$\text{Total stress } (\sigma) = \gamma_{sat} z$$

$$\text{Pore water pressure } (u) = \gamma_w z_w$$

$$\text{Effective stress } (\sigma') = \sigma - u$$

3.1.1.4. Overburden pressure

Soils are **affected** by the **weight** of the **soil above** (overburden stress)

→ when a **sample** is removed the **overburden stress** is **relieved** (need to re-establish in-situ stress conditions)

→ **unit weight** is **determined/estimated** from density tests, shear wave velocity, SPT/CPT or other in-situ test

$$\text{Total overburden stress } (\sigma_{v0}) = \int \gamma_T dz \approx \Sigma \gamma_T \Delta z_i$$

$$\text{Effective overburden stress } (\sigma'_{v0}) = \sigma_{v0} - u_0$$

$$\text{Effective horizontal}$$

Soil elements **above the water table**

$$\text{Completely Dry: } u_0 = 0$$

$$\text{Full capillarity: } u_0 = \gamma_w(z - z_w)$$

Soil elements **below the water table**

$$u_0 = \gamma_w(z - z_w)$$

3.2. Index properties

Several **index properties** are obtained from **laboratory tests**

- Moisture content
- Specific gravity
- Unit weight
- Sieve analysis
- Atterberg limits
- Moisture-density relationship
- pH of soils
- **Organic content** (important to know as properties of soil can change over time - may give desirable engineering properties now but this can change, e.g. additional settlement following decomposition)

3.2.1.1. Sieve analysis

Used to determine the **particle size distribution** which is useful in evaluating engineering characteristics (permeability, susceptibility to frost action)

3.2.1.2. Hydrometer analysis

Use to determine the **distribution of particles** that are **smaller** than the **no. 200 sieve** ($75\mu\text{m}$)

→ Identifies silt, clay and colloids percentages

3.2.1.3. Atterberg limits – AS 1289.3

Used to describe the **consistency** and **plasticity** of **fine-grained** soils with **varying** degrees of **moisture content**

→ portion of the soil **passing no. 40 sieve** is considered to identify **3 stages** of soil behaviour.

1. Liquid Limit (LL)
 2. Plastic Limit (PL)
 3. Shrinkage Limit (SL)
- Plasticity Index (PI) = LL – PL

3.2.1.4. Moisture-density relationships

Used to determine **moisture content** and **max. dry density** for a given soil and specific **compactive effort** (used for the design and construction of earth structures – embankments, dams, structural foundations and fills)
→ a dry density vs. moisture content plot is obtained and the relationship is determined from performing tests on a given soil at varying water contents

3.3. Strength tests

The **selection of strength parameters** will depend on the **context** (construction type, foundation design, intensity type and duration of loads, soil materials)

The **strength parameters include**

- Effective strength envelope: assumed to be constant for a particular soil
- c' : cohesion
- φ' : angle of shear resistance
- Effective shear strength: $\tau_{max} = c' + \sigma_N \tan(\varphi')$
- Total or undrained shear strength: S_u or c_u

3.3.1.1. Unconfined compressive strength (q_u)

Can find the **undrained shear strength** from the unconfined compressive stress and axial strain relationship

$$\rightarrow q_u = 2c_u = 2S_u$$

3.3.1.2. Triaxial Tests

A 38mm diameter **soil sample** is placed in a **rubber membrane** that sits in a **cell** with a given **confining pressure** where the confining pressure should approximately simulate in-situ state of effective stresses (e.g. original overburden pressure σ'_{v0} , anticipated max. vertical pressure in future, an intermediate pressure)
→ a **deviator stress** is applied vertically to the soil sample until the sample fails
→ the **pore water pressure (u)** is controlled by a **drainage connection**

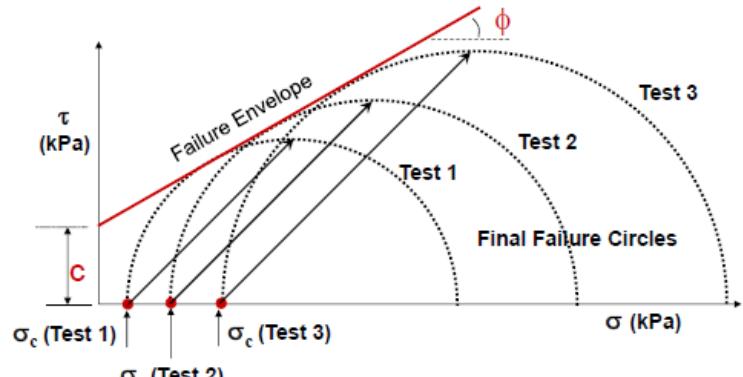
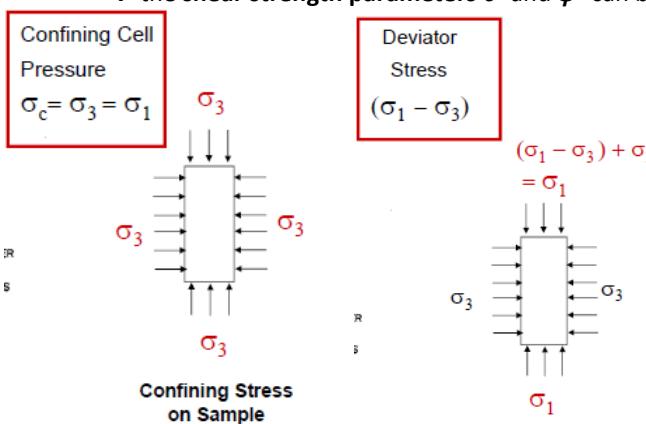
$$\text{Drainage: } \Delta u = 0$$

$$\text{No Drainage: } \Delta u > 0$$

→ σ_3 is held constant while σ_1 is increased through the deviator stress until failure (considered to have failed when the deviator stress reaches its peak)

→ final failure Mohr circle and the failure envelope can be found by repeating the test for different σ_c

→ the shear strength parameters c' and φ' can be determined graphically



There are **several types of triaxial tests**

→ CU, CUPP and CD tests are used to develop strength envelopes by the use of Mohr circles

1. **Unconsolidated Undrained (UU):** Samples not allowed to drain or consolidate prior or during testing, results will depend on degree of saturation ($s = 0 \rightarrow \varphi' = 0$)
→ does not produce reliable results for saturated granular soils
2. **Consolidated Undrained (CU):** Specimen allowed to consolidate but drainage is blocked when axial stress is applied (pore water pressure will increase)
→ used for lower permeability soils