## Lawyers and Australian Society

WEEK ONE - Lawyers, Legal education in NSW - page 2

WEEK TWO - The ethical framework, lawyers and ethics - page 4

WEEK THREE - Admission to the profession, structure, requirements - page 6

WEEK FOUR – Regulation and discipline, complaints, disciplinary – page 12

WEEK FIVE – Lawyer client relationship, retainer, costs, duty to advise – page 19

WEEK SIX - Duty of competence and care, liability beyond the retainer - page 26

WEEK SEVEN – Confidentiality, client legal privilege – page 29

WEEK EIGHT – Conflicts of interests, old clients + own interests – page 32

WEEK NINE – Duty to court and administration of justice, honesty – page 37

WEEK TEN - Duty to profession, other lawyers, third parties - page 43

## Week Three: Admission to the "Profession" (Chapter 3)

## **REQUIREMENTS FOR ADMISSION**

- <u>Legal Profession Act 2004 (NSW) s4(1)</u> definition of admission to the legal profession
  - Admission by a Supreme Court as:
    - a) a lawyer, or (b)a legal practitioner, or (c) a barrister, or (d) a solicitor, or (e) a barrister and solicitor, or (f) a solicitor and barrister
- Legal Profession Act 2004 (NSW) s24 eligibility for admission
  - (1) A person is eligible for admission only if the person is a natural person aged 18 years or over and:
    - (a) the person has attained:
      - (i) approved academic qualifications, or
      - (ii) corresponding academic qualifications, and
    - (b) the person has satisfactorily completed:
      - (i) approved practical legal training requirements, or
      - (ii) corresponding practical legal training requirements.
- <u>Legal Profession Act 2004 (NSW) s25</u> suitability for admission
- (1) In deciding if an applicant is a fit and proper person to be admitted, the Admission Board:
  - (a) must consider each of the <u>suitability matters</u> in relation to the <u>applicant</u> to the extent a <u>suitability matter</u> is appropriate, and
  - (b) may consider any other matter it considers relevant.
- (2) However, the <u>Admission Board</u> may consider a person to be a fit and proper person to be admitted despite a <u>suitability</u> matter because of the circumstances relating to the matter.
  - <u>Legal Profession Act 2004 (NSW) s9</u> suitability matters
  - (1) Each of the following is a suitability matter in relation to a natural person:
    - (a) whether the person is currently of good fame and character,
    - (b) whether the person is or has been an insolvent under administration,
    - (c) whether the person has been convicted of an offence in Australia or a foreign country, and if so:
      - (i) the nature of the offence, and
      - (ii) how long ago the offence was committed, and
      - (iii) the person's age when the offence was committed,

Note: The <u>rules</u> may make provision for the <u>convictions</u> that must be disclosed by an <u>applicant</u> and those that need not be disclosed. Section 11 (References to <u>convictions</u> for offences) provides that reference to a <u>conviction</u> includes a finding of guilt, or the acceptance of a guilty plea, whether or not a <u>conviction</u> is recorded.

- (d) whether the person engaged in legal practice in Australia:
  - (i) when not admitted, or not holding a practising certificate, as required under this Act or a previous law of this jurisdiction that corresponds to this Act or under a corresponding law, or
  - (ii) if admitted, in contravention of a condition on which admission was granted, or
  - (iii) if holding an <u>Australian practising certificate</u>, in contravention of a condition of the certificate or while the certificate was suspended,

- (e) whether the person has practised law in a foreign country:
  - (i) when not permitted by or under a law of that country to do so, or
  - (ii) if permitted to do so, in contravention of a condition of the permission,
- (f) whether the person is currently subject to an unresolved complaint, investigation, charge or order under any of the following:
  - (i) this Act or a previous law of this jurisdiction that corresponds to this Act, or
  - (ii) a corresponding law or corresponding foreign law,
- (g) whether the person:
  - (i) is the subject of current disciplinary action, however expressed, in another profession or occupation in Australia or a foreign country, or
  - (ii) has been the subject of disciplinary action, however expressed, relating to another profession or occupation that involved a finding of guilt,
- (h) whether the person's name has been removed from:
  - (i) a local roll, and whether the person's name has since been restored to or entered on a local roll, or
  - (ii) an interstate roll, and whether the person's name has since been restored to or entered on an interstate roll, or
  - (iii) a foreign roll,
- (i) whether the person's right to engage in legal practice has at any time been suspended or cancelled in Australia or a foreign country,
- (j) whether the person has contravened, in Australia or a foreign country, a law about trust money or trust accounts,
- (k) whether, under this Act, a law of the Commonwealth or a corresponding law, a supervisor, manager or receiver, however described, is or has been appointed in relation to any legal practice engaged in by the person,
- (I) whether the person is or has been subject to an order, under this Act, a law of the Commonwealth or a <u>corresponding law</u>, disqualifying the person from being employed by, or a partner of, an <u>Australian legal practitioner</u> or from managing a corporation that is an <u>incorporated legal practice</u>,
- (m) whether the person is currently unable to satisfactorily carry out the inherent requirements of practice as an <u>Australian legal</u> practitioner.
- (2) A matter is a suitability matter even if it happened before the commencement of this section.
  - According to Ross (52) the **test of ascertaining** if an applicant is a 'fit and proper person' or of 'good character' is a **negative one presumed to be good** unless evidence otherwise.
  - Failure to disclose relevant matters is generally regarded as demonstrating lack of fitness to be admitted to practice as it shows a lack of honesty and candour.
    - It can lead to refusal of admission or, where the lack of disclosure is discovered after admission – removal of the applicant's name from the Roll of Practitioners.
  - Despite this, disclosure doesn't necessarily preclude admission
  - Generally events of dishonesty, lack of honesty or candour with the court, convictions for
    other indictable offences and offences that point to a wilful disregard for the rule of law,
    or an attitude of contempt towards the justice system are regarded as most serious.
  - Re Davis (1947) 75 CLR 409:
    - Didn't disclose conviction for break, enter and steal which was 8 years prior
    - Despite that it occurred in unusual circumstance, court found lack of candour in disclosing was a bar to admission – until 32 years after initial application